



ANALYSIS I

Lecture 4

Last time:

- Bounds of sets
- MIN / MAX
- $\underline{\text{Inf}}$ / Sup

Today:

- Archimedean property
- Density of \mathbb{Q} in \mathbb{R}

Next week: Complex numbers

| Set | Set | Inf | Inf | Sup | Sup | Min | Min | Max | Max |
|----------------------|----------------------|-----------|-----------|-----------|-----------|----------------|----------------|----------------|----------------|
| (a, b) | (a, b) | a | a | b | b | \mathbb{N}_0 | \mathbb{N}_0 | \mathbb{N}_0 | \mathbb{N}_0 |
| $[a, b)$ | $[a, b)$ | a | a | b | b | a | a | \mathbb{N}_0 | \mathbb{N}_0 |
| $(a, b]$ | $(a, b]$ | a | a | b | b | \mathbb{N}_0 | \mathbb{N}_0 | b | b |
| $[a, b]$ | $[a, b]$ | a | a | b | b | a | a | b | b |
| $(a, +\infty)$ | $(a, +\infty)$ | a | a | $+\infty$ | $+\infty$ | \mathbb{N}_0 | \mathbb{N}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 |
| $[a, +\infty)$ | $[a, +\infty)$ | a | a | $+\infty$ | $+\infty$ | a | a | \mathbb{Z}_0 | \mathbb{Z}_0 |
| $(-\infty, b)$ | $(-\infty, b)$ | $-\infty$ | $-\infty$ | b | b | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 |
| $(-\infty, b]$ | $(-\infty, b]$ | $-\infty$ | $-\infty$ | b | b | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 |
| $(-\infty, +\infty)$ | $(-\infty, +\infty)$ | $-\infty$ | $-\infty$ | $+\infty$ | $+\infty$ | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 | \mathbb{Z}_0 |

bounded

bounded above
bounded below

$(-\infty, +\infty) = \mathbb{R}$

| Set | inf | sup | min | max |
|-----------------------------------|-----------|-----------|-----|-----|
| (a, b) | a | b | No | No |
| $[a, b)$ | a | b | a | No |
| $(a, b]$ | a | b | No | b |
| $[a, b]$ | a | b | a | b |
| $(a, +\infty)$ | a | $+\infty$ | No | No |
| $[a, +\infty)$ | a | $+\infty$ | a | No |
| $(-\infty, b)$ | $-\infty$ | b | No | No |
| $(-\infty, b]$ | $-\infty$ | b | No | b |
| $(-\infty, +\infty) = \mathbb{R}$ | $-\infty$ | $+\infty$ | No | No |

Theorem

Let $\emptyset \neq S \subset \mathbb{R}$, then

$x \in \mathbb{R}$ is the supremum of S

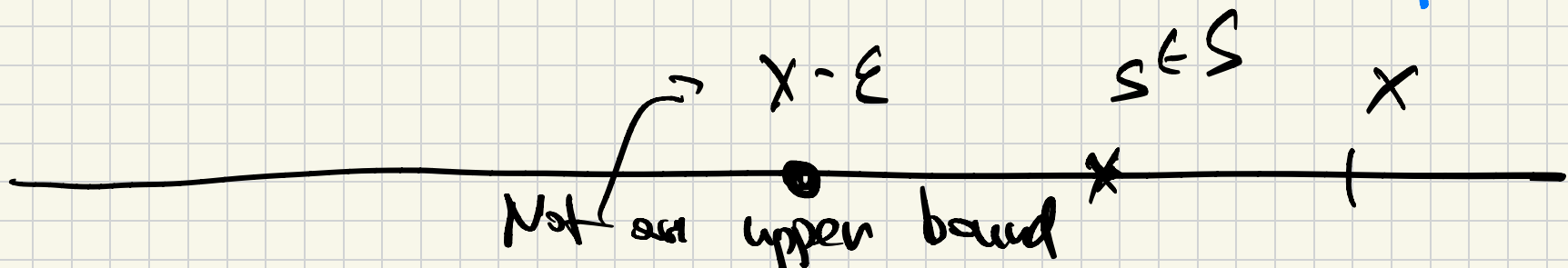
if and only if $x \geq s \quad \forall s \in S$

and $\forall \varepsilon > 0 \exists s \in S$ such that $s > x - \varepsilon$.

Any number smaller

than x is not an upper bound

x is an upper bound



Example

$$S = \left\{ \frac{n-1}{n} \mid n \in \mathbb{N}^* \right\}$$

$$\inf(S) = 0$$

Indeed, $\frac{n-1}{n} \geq 0$

So 0 is a lower bound.

But $n=1 \Rightarrow \frac{n-1}{n} = \frac{1-1}{1} = 0$

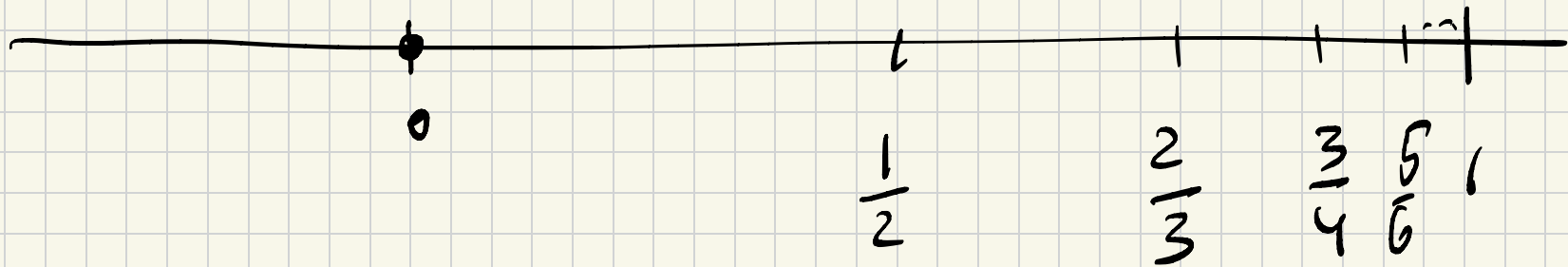
So $0 \in S \Rightarrow$

No positive number is a lower bound of S .

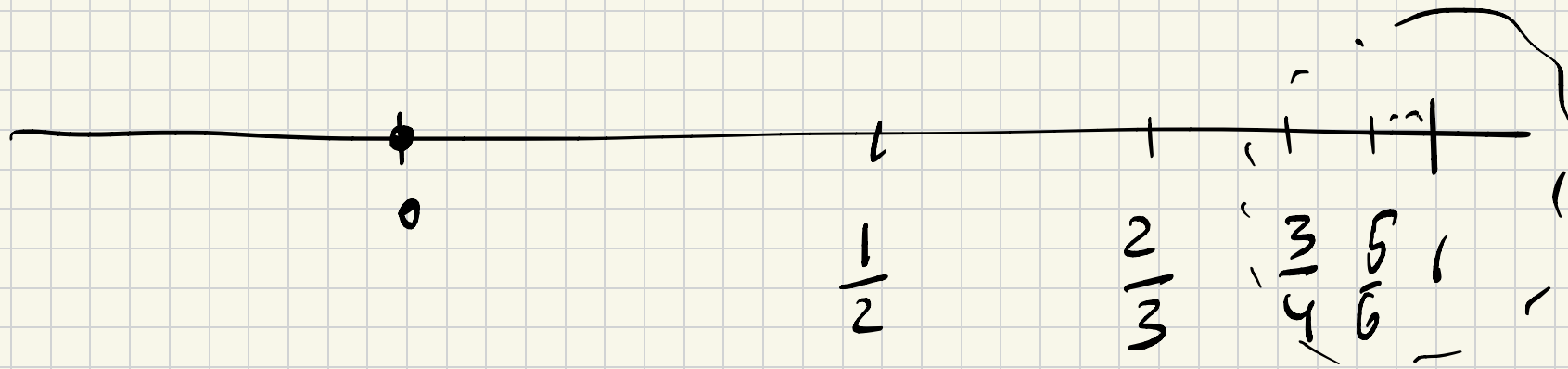
So we get $\inf(S) = \min(S) = 0$.

Now let's look for supremum:

$$\frac{n-1}{n} = 1 - \frac{1}{n} < 1 \quad \text{since } \frac{1}{n} > 0$$



\Rightarrow 1 is an upper bound and
Also $1 \notin S$



Intuitively then \exists

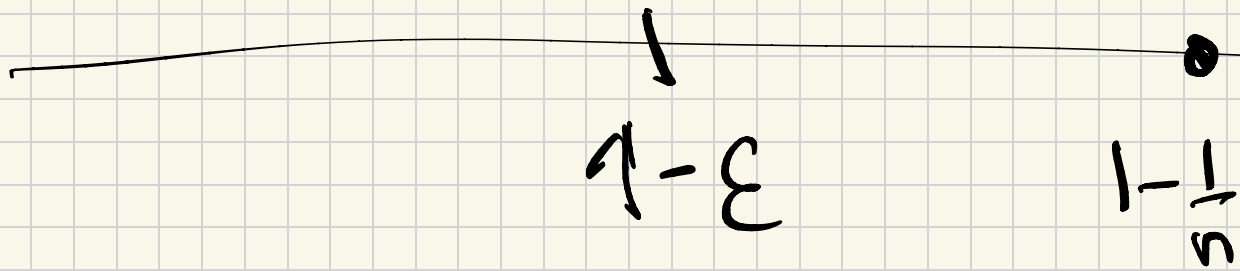
if any number

$$\epsilon > 0$$

$$1 - \frac{1}{5} > \underline{1 - \epsilon} = 1$$

$$\frac{1}{5} < \epsilon \implies n > \frac{1}{\epsilon}$$

Such n exists by Archimedean property.



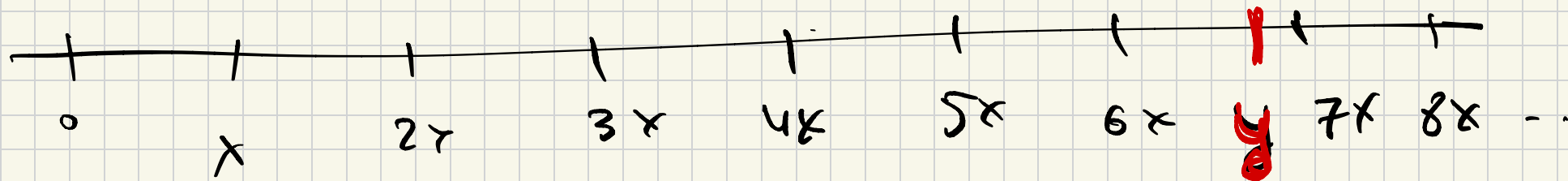
This implies that

$$\text{Sup}(S) = 1 \quad \text{But}$$

$\text{MAX}(S)$ does not exist.

Theorem (ARCHEMIDEAN Property)

Let $x, y \in \mathbb{R}$ with $x > 0$ then
there exists $n \in \mathbb{N}^*$ s.t. $nx > y$.



Example

For any $y \in \mathbb{R}$
there exists $n \in \mathbb{N}^*$ s.t. $n > y$.

In this example $x = 1$, so

$$n \cdot x = n.$$

(In terms of decimal expression:
 $y = 125237.621\dots$ can take $n = 125237 + 1$)

Proof: We assumed $x > 0$, so

if $y \leq 0$ you can take $n=1$

since $x > y$

So we consider case when $y > 0$,

We will proceed by contradiction

Assume $y > n \cdot x \quad \forall n \in \mathbb{N}^*$

Assume

$$y > n \cdot x \quad \forall n \in \mathbb{N}^*$$

Consider

$$S = \{ n \cdot x \mid n \in \mathbb{N}^* \}$$

then S is bounded above by y .

$$\text{Let } a = \sup(S) \in \mathbb{R}$$

In particular a is an upper bound

$$n \cdot x \leq a \quad \forall n \in \mathbb{N}^*$$

In particular,

$$(n+1) \cdot x \leq a \quad \forall n \in \mathbb{N}^+$$

$$\Leftrightarrow nx + x \leq a \quad \forall n \in \mathbb{N}^+$$

$$\Leftrightarrow nx \leq a - x \leq a \quad \forall n \in \mathbb{N}^+$$

But then $a - x$ is an upper bound for $S \Rightarrow a$ could not be the $\sup(S)$. \Rightarrow contradiction.

Inf / Sup for subsets of \mathbb{Z} .

Proposition If $S \subset \mathbb{Z}$ is bounded below (above) then it has min (max).

In particular,

$\inf(S) = \min(S) \in \mathbb{Z}$
if S is bounded below

$\sup(S) = \max(S) \in \mathbb{Z}$

if S is bounded above

Proof. Proof by contradiction

Assume $\inf(S) \notin \mathbb{Q}$
" a

1st step: Take $a + \frac{1}{2} > a$ so it is not
a lower bound

$\Rightarrow \exists s_1 \in S$ such that

$$a < s_1 < a + \frac{1}{2}$$

This is strict since $a \notin \mathbb{Q}$, $s_1 \in S \subset \mathbb{Q}$

2nd step

$$s_1 > a \Rightarrow s_1$$
$$a < s_1$$

is not a lower bound for S

$\Rightarrow \exists s_2 \in S$ with

$$a < s_2 < s_1$$

So we get

$$a < s_2 < s_1 < a + \frac{1}{2}$$

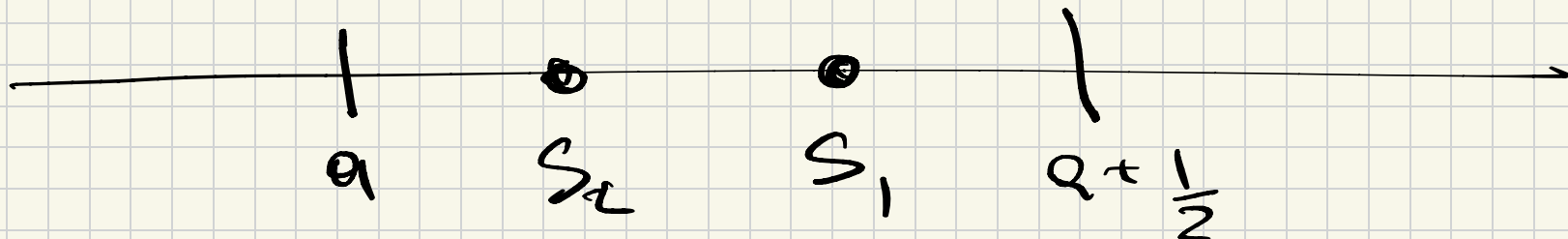
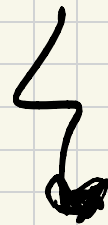
$\Rightarrow s_1 - s_2 < \frac{1}{2}$ which is impossible since $s_1, s_2 \in \mathbb{Z}$

$0 < s_1 - s_2 < \frac{1}{2}$ which contradicts

the fact that $s_1, s_2 \in \mathbb{Z}$
positive

so the smallest difference

is 1,



Integer parts:

Definition (Floor / Ceiling functions)

Let $x \in \mathbb{R}$ then

- the floor $\lfloor x \rfloor$ of x is the largest integer that is $\leq x$. Rounds down
- the ceiling $\lceil x \rceil$ of x is the smallest integer that is $\geq x$. Rounds up

• Integer part of x is

$$[x] := \begin{cases} \lfloor x \rfloor & \text{for } x \geq 0 \\ \lceil x \rceil & \text{for } x \leq 0 \end{cases}$$

Reason for this convention is:

$$[45.0732\dots] = 45$$

$$[-45.0732\dots] = -45$$

~~(Some people $[x] = \lfloor x \rfloor$ but then $[-45.0732\dots] = -46$)~~

• Fractional part of x is

$$\{x\} := x - [x]$$

$$\{4.75\} = 0.75$$
$$\{-4.75\} = -0.75$$

Example

If $x = 145.732$

Since $x > 0$
↙

$$\lfloor x \rfloor = 145 \quad \lceil x \rceil = 146 \quad [x] = \lfloor x \rfloor = 145$$

$$\{x\} = x - \lfloor x \rfloor = 145.732 - 145 = 0.732$$

If $x = -145.732$ then

Since $x < 0$
↙

$$\lfloor x \rfloor = -146 \quad \lceil x \rceil = -145 \quad [x] = \lceil x \rceil = -145$$

$$\{x\} = x - \lceil x \rceil = -145.732 - (-145) = -0.732$$

Properties of integral/fractional parts

- $\lfloor x \rfloor \leq x < \lfloor x \rfloor + 1$

- $\lceil x \rceil - 1 < x \leq \lceil x \rceil$

- $\lceil x \rceil = -\lfloor -x \rfloor$

- $x = \lfloor x \rfloor + \{x\}$

- $x \in \mathbb{Z}$ iff $x = \lfloor x \rfloor = \lceil x \rceil = \lceil x \rceil = \lfloor x \rfloor$

- $-1 < \{x\} < 1$

Integral number
" Integer number

Exercise: prove these properties.

Density of \mathbb{Q} in \mathbb{R}

Theorem Let $a, b \in \mathbb{R}$ with $a < b$

then there exists $c \in \mathbb{Q}$ s.t.

$$a < c < b.$$

Example

define

$$S = \left\{ x \mid \begin{array}{l} x \in \mathbb{Q} \text{ and} \\ x^2 > 3 \end{array} \right\}$$

$$\inf(S) = \sqrt{3}$$

Example define $S = \{ x \mid x \in \mathbb{Q} \text{ and } x^2 > 3 \}$

$$\inf(S) = \sqrt{3}$$

to show this we relied
on the fact that for
any $a > \sqrt{3}$ there is

a rational number $\sqrt{3} < c < a$.

Proof when $a = 0$

for any $b > 0 \exists$ rational number c

$$0 < c < b$$

Indeed,

consider

$$\left\lfloor \frac{1}{b} \right\rfloor + 1 > \frac{1}{b}$$

\mathbb{Q}

\mathbb{Z}_+

\Rightarrow

$$0 <$$

$$\left\lfloor \frac{1}{b} \right\rfloor + 1 < b$$

$$< b$$

\square